

**UNIVERSITY OF SOUTH ALABAMA
BOARD OF TRUSTEES**

MINUTES

SEPTEMBER 7, 2017

AUDIT COMMITTEE

DEVELOPMENT, ENDOWMENT AND INVESTMENTS COMMITTEE

HEALTH AFFAIRS COMMITTEE

ACADEMIC AND STUDENT AFFAIRS COMMITTEE

BUDGET AND FINANCE COMMITTEE

LONG-RANGE PLANNING COMMITTEE

COMMITTEE OF THE WHOLE

SEPTEMBER 8, 2017

BOARD OF TRUSTEES

- 1 Approve: Minutes
- 2 Report: Board of Trustees Scholar
- 3 Report: University President
- 4 Report: Faculty Senate President
- 5 Report: Student Government Association President

CONSENT AGENDA

- 11 Approve: Revision of Building and Program Naming Guidelines and Minimum Gift Levels for Named Endowment Policy
- 13 Approve: USA Hospitals Medical Staff Credentials for May, June and July 2017

AUDIT COMMITTEE

Report: John Peek, Chair

DEVELOPMENT, ENDOWMENT AND INVESTMENTS COMMITTEE

Report: Jim Yance, Chair

HEALTH AFFAIRS COMMITTEE

Report: Steve Furr, Chair

ACADEMIC AND STUDENT AFFAIRS COMMITTEE

Report: Bettye Maye, Chair

- 15 Approve: Commendation of Dr. Richard J. Wood

BUDGET AND FINANCE COMMITTEE

Report: Tom Corcoran, Chair

- 20 Approve: University Total Budget 2017-2018
- Report: Summer Infrastructure Improvements

LONG-RANGE PLANNING COMMITTEE

Report: Mike Windom, Chair

SPECIAL ACKNOWLEDGMENT

- 12 Approve: Commendation of Patsy and Ray Kennedy
- 21.A Approve: Commendation of Ms. Bettye R. Maye
- 21.B Approve: Commendation of Mr. John M. Peek
- 21.C Approve: Commendation of The Honorable Bryant Mixon

OTHER

Approve: Executive Session

**UNIVERSITY OF SOUTH ALABAMA
BOARD OF TRUSTEES**

**September 8, 2017
10:30 a.m.**

A meeting of the University of South Alabama Board of Trustees was duly convened by Judge Ken Simon, Chair *pro tempore*, on Friday, September 8, 2017, at 10:31 a.m. in the Board Room of the Frederick P. Whiddon Administration Building.

Members Present: Alexis Atkins, Chandra Brown Stewart, Scott Charlton (via phone), Tom Corcoran, Steve Furr, Ron Jenkins, Bettye Maye, Arlene Mitchell, John Peek, Jimmy Shumock, Ken Simon, Steve Stokes, Mike Windom and Jim Yance.

Members Absent: Kay Ivey and Bryant Mixon.

Administration and Others: Owen Bailey, Robert Berry, Lynne Chronister, Angela Coleman, Kathy Cooke, Chelsia Douglas (AASA), Karen Edwards, Jen Ekman, Joel Erdmann, Monica Ezell, Mike Finan, Happy Fulford, Heather Hall, Mike Haskins, Bob Israel (Mobile Diagnostics Center), David Johnson, Melva Jones, Patsy and Ray Kennedy, Christopher Lynch, Christian Manganti, John Marymont, Abe Mitchell, Mike Mitchell, Diana Nichols, Barbara Peek, John Smith, Margaret Sullivan, Carl Thomas (SGA), Jean Tucker, Elizabeth VandeWaa (Faculty Senate), Tony Waldrop, Scott Weldon, Doug Whitmore (NAA), Lynne and Richard Wood, Shirley Zhang, Maribel and Jeroen van der Zijp, and Ada Chaeli van der Zijp-Tan.

Press: Briana Cunningham and Patricia West (*Vanguard*) and Jason Johnson (*Lagniappe*).

The meeting came to order and the attendance roll was called. Chairman Simon welcomed the group and called for consideration of **ITEM 1**, the minutes of the Board of Trustees meeting held June 2, 2017. On motion by Mr. Corcoran, seconded by Ms. Maye, the minutes were approved unanimously.

As to **ITEM 2**, a report on the USA Board of Trustees Scholar, Chairman Simon recognized current Board of Trustees Scholars Ms. Shirley Zhang and Mr. Christian Manganti, and introduced entering freshman Ms. Ada Chaeli van der Zijp-Tan, who was named the new Board of Trustees Scholar based upon academic achievement. Also introduced were Ms. Zijp-Tan's parents, Mr. and Mrs. Jeroen van der Zijp. Ms. van der Zijp-Tan was presented a plaque commemorating her selection and she made brief remarks.

Chairman Simon called for presentation of **ITEM 3**, the President's Report. President Waldrop recognized Honorary Trustee Mr. Abe Mitchell, National Alumni Association President Mr. Doug Whitmore and African-American Student Association President Ms. Chelsia Douglas.

President Waldrop invited Board members to peruse a pamphlet outlining significant University accomplishments over the 2016-2017 academic year. He discussed Week of Welcome (WOW) activities held in August and a video featuring Move-In Day was shown.

President Waldrop called on Ms. Jones for a report on the Empowering Change initiative; Ms. Chronister for a report on National Science Foundation grant awards; Dr. Erdmann for a report on the Jaguar Training Facility; Dr. Smith for an update on the search for a Chief Compliance Officer; Dr. Coleman for an update on searches for a Chief Diversity Officer and a Director of Community Engagement; Provost Johnson, who introduced new Honors College Dean Dr. Kathy Cooke and Interim Dean of the College of Nursing Dr. Heather Hall; Dr. Marymont, who introduced Dr. Bob Israel from the Mobile Diagnostic Center; and Mr. Haskins, who reported on a CASE (Council for Advancement and Support of Education) Circle of Excellence Award for USA's institutional-wide branding process and recognized fellow branding core team members Ms. Karen Edwards, Ms. Jen Ekman, Ms. Diana Nichols and Mr. Christopher Lynch. A promotional video featuring student input on the USA brand was shown.

Chairman Simon called for a report from Faculty Senate President Dr. Elizabeth VandeWaa, **ITEM 4**. Dr. VandeWaa shared enthusiasm for a series of town hall meetings taking place on campus and discussed faculty engagement with Governmental Relations staff and Upward & Onward campaign representatives. She commented on a busy fall schedule ahead. Mr. Windom commended President Waldrop for initiating the town hall meetings and Dr. VandeWaa acknowledged that these forums were a productive means for open communication.

Chairman Simon called for a report from Student Government Association (SGA) President Mr. Carl Thomas, **ITEM 5**. Among the activities Mr. Thomas detailed were First Year Council interviews; the addition of an SGA Director of Community Engagement; projects involving community partners Feeding the Gulf Coast and Penelope House; sponsorship of flu shots at the Student Health Center; and student interaction with President Waldrop at the Tacos with Tony event. Mr. Thomas said good insight had been gained during his five-month tenure as SGA President. Mr. Peek encouraged opportunities for leadership training.

Chairman Simon called for consideration of consent agenda **ITEMS 11** and **13** as follows, noting both were unanimously recommended for Board approval by the respective committees that met on September 7 (for copies of policies and other authorized documents, refer to **APPENDIX A**). On motion by Mr. Corcoran, seconded by Mr. Shumock, the resolutions were approved unanimously:

**RESOLUTION
REVISION OF BUILDING AND PROGRAM NAMING GUIDELINES
AND MINIMUM GIFT LEVELS FOR NAMED ENDOWMENTS POLICY**

WHEREAS, the Board of Trustees approved in 2004 the University's Building and Program Naming Guidelines and Minimum Gift Levels for Named Endowments Policy, and

WHEREAS, since 2004, there has been steady growth in the number of donors to the University, specifically, among those donors who have generously given to support projects through named buildings, programs, and endowments, and

WHEREAS, the value of named gift opportunities at the University has risen as a result of the increasing quality and competitiveness of its programs, and best practices for considering and conferring the naming of buildings, programs, and endowments that change over time, and

WHEREAS, the University's Office of Development and Alumni Relations recommends revision of the policy governing naming gifts to USA,

THEREFORE, BE IT RESOLVED that the Board of Trustees of the University of South Alabama hereby approves the revised policy as submitted.

**RESOLUTION
USA HOSPITALS MEDICAL STAFF APPOINTMENTS AND REAPPOINTMENTS
FOR MAY, JUNE AND JULY 2017**

WHEREAS, the Medical Staff appointments and reappointments for May, June and July 2017 for the University of South Alabama Hospitals are recommended for Board approval by the Medical Executive Committees and the Executive Committee of the University of South Alabama Hospitals,

THEREFORE, BE IT RESOLVED that the Board of Trustees of the University of South Alabama approves the appointments and reappointments as submitted.

Chairman Simon called for a report from the Audit Committee. Mr. Peek, Committee Chair, said, at a meeting on September 7, KPMG partners Ms. Eileen McGinn and Ms. Ashley Willson discussed the pending audit; Mr. Berry detailed plans to implement regular quality assessment reviews (QAR); and Mr. Davis reported on the independent audit of the USA Foundation's (USAF) consolidated financial statements and the disproportionate share hospital (DSH) funds combined financial statements for the fiscal year ended June 30, 2017.

Chairman Simon called for a report from the Development, Endowment and Investments Committee. Mr. Yance, Committee Chair, stated, at a meeting on September 7, Mr. Albano and Mr. Pittman presented overall endowment performance; Mr. Matt Kinnear and Mr. Mike Douglass representing Gerber Taylor discussed the University's portfolio; and Dr. Stokes, Upward & Onward Campaign Co-Chair, and Ms. Sullivan gave updates on the campaign and strategies, and Annual Fund results.

Chairman Simon called for a report from the Health Affairs Committee. Dr. Furr, Committee Chair, said, at a meeting on September 7, Dr. Marymont introduced new chairs of the departments of Obstetrics & Gynecology and Orthopedic Surgery Dr. Lisa Spiryda and Dr. Richard Marks, respectively, as well as medical student Mr. Jeremy Towns, and shared admissions statistics for the Class of 2021. He said Dr. Finan gave an update on the Mitchell Cancer Institute (MCI) Kilborn Clinic in Fairhope and introduced Dr. Art Frankel, named the Mayer and Arlene Mitchell Endowed Chair of Medical Oncology.

Chairman Simon called for a report from the Academic and Student Affairs Committee. Ms. Maye, Committee Chair, said, at a meeting on September 7, Provost Johnson presented **ITEM 15** as follows and the Committee voted unanimously to recommend approval by the Board of Trustees. Retired Dean of the University Libraries Dr. Richard Wood was joined by his wife, Lynne, for the reading of the resolution by Ms. Atkins. On motion by Mr. Yance, seconded by Mr. Shumock, the resolution was approved unanimously. Dr. Wood shared heartfelt words of appreciation for the Board's recognition and well wishes:

**RESOLUTION
COMMENDATION OF DR. RICHARD J. WOOD**

WHEREAS, Dr. Richard J. Wood served as Dean of the University Libraries for seventeen years,
and

WHEREAS, during his tenure as Dean, Dr. Wood was involved in the design and planning of a 50,000-square-foot, four-story addition to the Marx Library, and

WHEREAS, Dr. Wood created USA's first public arts gallery in 2003, which has featured bi-monthly exhibitions and displays of works created by regional artists, and

WHEREAS, Dr. Wood was active in securing the Marx Family Library Endowment Fund and in the naming of the main library in recognition of the \$3 million gift to the University by the Julien E. Marx Foundation Trust, and

WHEREAS, Dr. Wood helped facilitate the gift of historically significant documents from the McCall family to the University that are now archived in the Doy Leale McCall Rare Book and Manuscript Library, and planned and provided oversight for the move of that library to the main campus of the University, and

WHEREAS, Dr. Wood worked with the University's Office of Development and various donors to establish the Agnes Tennenbaum Holocaust Library Collection, the Vi Harper Reference Area, and the Mary Elizabeth and Charles Bernard Rodning Gallery of Art, and

WHEREAS, Dr. Wood developed and implemented the Program for the Enhancement of Teaching and Learning (PETAL) that grew into the current Innovation in Learning Center, and

WHEREAS, as a member of the Network of Alabama Academic Libraries (NAAL), Dr. Wood helped write the grant that led to the creation of the Alabama Mosaic, the online repository of digital materials about Alabama history, culture, places and people,

THEREFORE, BE IT RESOLVED that the University of South Alabama Board of Trustees expresses its appreciation to Dr. Richard J. Wood for his many contributions and offers its best wishes upon his retirement.

Ms. Maye added that, at the Committee meeting, Provost Johnson introduced 2017-2018 Provost Faculty Fellows Dr. André Green and Dr. Justin St. Clair, as well as new chairs Dr. Elizabeth Adams – Department of Speech Pathology & Audiology, Ms. Susan Fitzsimmons – Visual Arts Department, Dr. Philip Habel – Department of Political Science and Criminal Justice, and Dr. David Messenger – History Department. She stated Provost Johnson gave an update on the construction of a building for the Simulation Program and discussed enrollment highlights for the 2018 fall semester, as well as improved retention rates; Mr. Lynch reported on scholarships; Dr. Carter discussed Global USA; Ms. Holly Hudson gave an update on the Study Abroad program and introduced two students to talk about their experiences; Dr. Smith gave an update on housing occupancy; Dr. Mitchell introduced Dr. Agnew for a report on the TRIO programs, Educational Talent Search and Upward Bound; and Ms. Chronister introduced Dr. Lesley Gregoricka, who discussed her Bioarcheology research.

Chairman Simon called for a report from the Budget and Finance Committee. Mr. Corcoran, Committee Chair, said, at a meeting on September 7, Mr. Weldon presented the quarterly financial statements for the period ended June 30, 2017, as well as **ITEM 20** as follows. He recounted the primary points of the \$938 million proposed budget and moved for approval. Mr. Shumock seconded and the resolution was approved unanimously:

**RESOLUTION
UNIVERSITY TOTAL BUDGET FOR 2017-2018**

BE IT RESOLVED, the University of South Alabama Board of Trustees approves the 2017-2018 University of South Alabama Budget, and

BE IT FURTHER RESOLVED, the University of South Alabama Board of Trustees approves the 2017-2018 Budget as a continuation budget for 2018-2019 in order to be in compliance with bond trust indenture requirements if the budget process cannot be completed prior to beginning the 2018-2019 fiscal year.

Mr. Corcoran called on Mr. Moon, who gave an overview on infrastructure improvements completed during the summer term and other construction projects, such as the new electrical sub-station on the main campus and Camellia Hall.

Chairman Simon called for a report from the Long-Range Planning Committee. Mr. Windom, Committee Chair, said, at a meeting on September 7, Provost Johnson introduced Dr. Coleman to present 2017 Scorecard results.

Chairman Simon invited Mr. and Mrs. Kennedy to join him, President Waldrop and Ms. Sullivan for the presentation of **ITEM 12** as follows. Captain Jenkins read and moved for approval of the

resolution. Mr. Windom seconded and the resolution was approved unanimously. Mr. Kennedy shared warm words of gratitude:

**RESOLUTION
COMMENDATION OF PATSY AND RAY KENNEDY**

WHEREAS, the University of South Alabama was established for the purpose of expanding access to the transforming impact of higher education among the citizens of South Alabama and during six decades has succeeded in that purpose, awarding more than 84,000 degrees, and

WHEREAS, Patsy and Ray Kennedy have distinguished themselves and the University of South Alabama through careers characterized by professionalism and achievement, as well as service to their community, fellow citizens, and alma mater, and

WHEREAS, the Kennedys have served the University of South Alabama as devoted members and volunteers of the USA National Alumni Association, including Patsy's service as founding chair of the College of Nursing Development Council and Ray's two terms as a member of the USA National Alumni Association's Board of Directors, and

WHEREAS, the impact of the Kennedys' lifelong engagement with the University is complemented and heightened by their steadfast generosity as donors whose support has furthered the progress of the Jaguar Athletic Fund, the College of Nursing, the Mitchell College of Business, and the USA National Alumni Association, and

WHEREAS, Patsy and Ray Kennedy have made an extraordinarily generous commitment through their estate plans to endow the Patsy and Ray Kennedy Endowed Scholarship Fund in the College of Nursing and the Patsy and Ray Kennedy Endowed Scholarship Fund for Children of Alumni and to support the Jaguar Athletic Fund, and

WHEREAS, the two scholarship endowments created by Patsy and Ray Kennedy will extend the opportunity to earn a University degree to nursing students and children of USA alumni far into the future in the names of alumni whose character and distinction are worthy of emulation,

THEREFORE, BE IT RESOLVED, the Board of Trustees gratefully acknowledges the profound generosity of Patsy and Ray Kennedy, whose farsighted commitment to endow two scholarships will assure generations of future students access to the educational foundation on which to build happy and productive lives, and

BE IT FURTHER RESOLVED that the Board of Trustees, the President, the faculty, administrators, staff, as well as present and future students of the University of South Alabama warmly thank Patsy and Ray Kennedy for their visionary philanthropy.

Chairman Simon asked Ms. Maye to come forward for the presentation of **ITEM 21.A** as follows. Ms. Brown Stewart read and moved for approval of the resolution. Mr. Shumock seconded and the resolution was approved unanimously. Addressing Trustees and guests, Ms. Maye reflected on her 24-year tenure as a Board member:

**RESOLUTION
COMMENDATION OF MS. BETTYE R. MAYE**

WHEREAS, Ms. Bettye R. Maye was appointed to the Board of Trustees of the University of South Alabama in 1993, and

WHEREAS, during her 24-year tenure as a member of the Board, Ms. Maye was a valuable member of numerous Board committees, including the Academic and Student Affairs Committee, as its chair; Executive Committee, as its secretary; Health Affairs Committee; Long-Range Planning Committee; and Budget and Finance Committee, and

WHEREAS, Ms. Maye, a longtime champion for education, has been an advocate for South in Sumter County and across West Alabama; faithful in her attendance of Board functions; and instrumental in her support for initiatives that have led to increased academic standards and enrollment, rising retention rates, and significant campus growth, and

WHEREAS, Ms. Maye supported and generously donated resources to the USA Board of Trustees Endowed Scholarship Fund, and

WHEREAS, Ms. Maye has served the University with distinction, graciously contributing her time, energy, wisdom and guidance to the growth and development of higher education in the state of Alabama and region, and to the students, alumni, faculty and administration of the University of South Alabama,

NOW, THEREFORE, BE IT RESOLVED that the University of South Alabama Board of Trustees expresses its deep appreciation and gratitude to Ms. Bettye R. Maye for her devotion, service and commitment to advancing the interests of the Institution and its constituencies, and

BE IT FURTHER RESOLVED that the Board of Trustees bestows upon Ms. Maye the title of Trustee *Emeritus*.

Chairman Simon asked Mr. and Mrs. Peek to stand for the presentation of **ITEM 21.B** as follows. Mr. Shumock read and moved for approval of the resolution. Ms. Mitchell seconded and the resolution was approved unanimously. Mr. Peek talked fondly about the University, its people and the time he served on the Board:

**RESOLUTION
COMMENDATION OF MR. JOHN M. PEEK**

WHEREAS, Mr. John M. Peek was appointed to the Board of Trustees of the University of South Alabama in 2006, and

WHEREAS, during his 11-year tenure as a member of the Board, Mr. Peek played a key role on numerous Board committees, including the Audit Committee, as its chair; Executive Committee; Academic and Student Affairs Committee; Health Affairs Committee; Long-Range Planning Committee; and Evaluation and Compensation Committee, and

WHEREAS, Mr. Peek has been an advocate for South in enrollment efforts in Covington County; has been resolute in ensuring responsible and sound fiscal practices; and has backed Board initiatives that have

resulted in increased academic standards and enrollment, and in the construction and renovation of campus and health care facilities, and

WHEREAS, Mr. Peek supported and generously donated resources to the USA Board of Trustees Endowed Scholarship Fund and other philanthropic efforts to strengthen scholarships and Jaguar Athletics programs, and

WHEREAS, Mr. Peek has a special connection to the University, both as a 1987 graduate and, along with his wife Barbara, as a proud parent of three sons who attend South, and

WHEREAS, Mr. Peek has served the University with distinction, graciously contributing his time, energy, wisdom and guidance to the growth and development of higher education in the state of Alabama and region, and to the students, alumni, faculty and administration of the University of South Alabama,

NOW, THEREFORE, BE IT RESOLVED that the University of South Alabama Board of Trustees expresses its deep appreciation and gratitude to Mr. John Peek for his devotion, service and commitment to advancing the interests of the Institution and its constituencies, and

BE IT FURTHER RESOLVED that the Board of Trustees bestows upon Mr. Peek the title of Trustee *Emeritus*.

Chairman Simon shared thoughts on fellow Trustee Sheriff Bryant Mixon, who could not join the meeting, and he read and moved for the approval of **ITEM 21.C** as follows. Mr. Yance seconded and the resolution was approved unanimously:

**RESOLUTION
COMMENDATION OF THE HONORABLE BRYANT MIXON**

WHEREAS, the Honorable Bryant Mixon was appointed to the Board of Trustees of the University of South Alabama in 2001, and

WHEREAS, during his 16-year tenure as a member of the Board, Sheriff Mixon served on, and thoughtfully contributed to, numerous Board committees, including the Academic and Student Affairs Committee; Audit Committee; Budget and Finance Committee; and Long-Range Planning Committee, and

WHEREAS, Sheriff Mixon has been an advocate for the University across the Wiregrass; has supported planning and budget policies that resulted in the growth of both the student body and campus facilities; and, as a longtime sheriff of Dale County, has been a proponent of ensuring proper campus security plans, and

WHEREAS, Sheriff Mixon supported and generously donated resources to the USA Board of Trustees Endowed Scholarship Fund and health care initiatives of the University, and

WHEREAS, Sheriff Mixon has served the University with distinction, graciously contributing his time, energy, wisdom and guidance to the growth and development of higher education in the state of Alabama and region, and to the students, alumni, faculty and administration of the University of South Alabama,

NOW, THEREFORE, BE IT RESOLVED that the University of South Alabama Board of Trustees expresses its deep appreciation and gratitude to the Honorable Bryant Mixon for his devotion, service and commitment to advancing the interests of the Institution and its constituencies, and

BE IT FURTHER RESOLVED that the Board of Trustees bestows upon Sheriff Mixon the title of
Trustee *Emeritus*.

Chairman Simon moved to hold an executive session for an approximate duration of 30 minutes for the purpose of discussing pending or imminent litigation and good name and character. He added, as per the provisions of the Alabama Open Meetings Act, Ms. Tucker, Senior University Attorney, had submitted the required written declaration for the minutes. Mr. Yance seconded and the Board voted unanimously at 12:20 p.m., as recorded below, to convene an executive session at 12:30:


AYES:


Ms. Atkins
Ms. Brown Stewart
Dr. Charlton
Mr. Corcoran
Dr. Furr
Capt. Jenkins
Ms. Maye
Ms. Mitchell
Mr. Peek
Mr. Shumock
Chairman Simon
Dr. Stokes
Mr. Windom
Mr. Yance

Following the executive session and there being no further business, the meeting was adjourned at 1:09 p.m.

Attest to:

Respectfully submitted:


Arlene Mitchell, Secretary


Kenneth O. Simon, Chair *pro tempore*

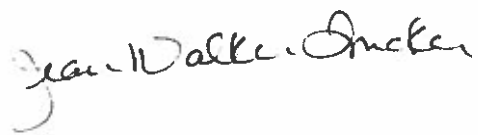
APPENDIX A

Executive Session

University of South Alabama Board of Trustees meeting September 8, 2017.

The purpose of the executive session for the above-referenced meeting is to discuss with Jean Tucker, Senior University Attorney, pending or imminent litigation and to discuss good name and character.

This declaration is submitted pursuant to the requirements of the Alabama Open Meetings Act by Jean Walker Tucker, ASB number 9400K72J.

A handwritten signature in cursive script that reads "Jean Walker Tucker". The signature is written in dark ink and is positioned below the typed text of the declaration.

UNIVERSITY OF SOUTH ALABAMA

Building and Program Naming Guidelines And Minimum Gift Levels for Named Endowments Policy

General Policy

The President of the University of South Alabama (“University” or “USA”), with approval by the University’s Board of Trustees, sets minimum gift level amounts and establishes approved guidelines for gift naming opportunities. USA, in all gift naming opportunities, reserves the final right of approval for the name or names designated for any of the gift naming opportunities in the sections that follow. University approval cannot be granted until the donor’s name is known or until the name(s) of the person(s) being memorialized is known. The gift levels described below are intended as guidelines for the minimum amounts needed to name the respective opportunity. In most cases, gifts greater than \$5,000 may be pledged and paid over a five-year period. The selection of recipients of all endowed positions is coordinated by the offices of the Senior Vice President for Academic Affairs and the Vice President for Medical Affairs with approval of the President. Exceptions to this policy can be approved only by the University President. Except for Planned Gifts, names associated with gift naming opportunities of greater than \$25,000 will be implemented upon receipt of a written pledge, receipt by the University of 50 percent of the pledge payment associated with the naming opportunity, and according to the guidelines listed below. Exceptions may be made by the University President in consultation with the chair of the Board of Trustees.

Specific Gift Naming Opportunities for University Operational Units or Positions

The dollar amounts cited in the paragraphs below are guidelines for recommended minimums. The University President may make exceptions. No University matching incentives may be applied to meet minimum funding requirements of any naming opportunity. Corporate matching funds may be used toward minimums as allowed by the matching gift organization. Except for Planned Gifts, all naming opportunities of greater than \$25,000 require that 50 percent or greater of the donation be received prior to announcing the naming.

School or College: To name a school or college at USA, the minimum amount will be determined on a case-by-case basis depending on the school or college’s size and the scope of its programs. In no case, however, will the minimum gift commitment be less than \$10 million to establish an endowment.

Department or School within a College: To name a department or school within a college, the minimum amount will be determined on a case-by-case basis depending on the department's size and the scope of its programs. In no case, however, will the minimum gift commitment be less than \$1.5 million for establishment of such an endowment.

Center or Special Program: The minimum amount required for the naming of a University-wide center or special multi-disciplinary program will be considered on a case-by-case basis and will depend on the disciplines involved and the size and scope of the programs. In no case, however, will the minimum gift commitment be less than \$1 million for establishment of such an endowment. To name a discipline-specific center that is located within a college or department, a minimum gift commitment of \$500,000 for an endowment is required.

Senior Academic Leadership Positions: Gifts of \$2 million or more are necessary to endow a specific position such as dean, director, department chairman, Provost, etc.

Endowed Chair: To establish an endowed chair, a minimum gift commitment of \$1.5 million is required. An endowed chair is among the most prestigious and meaningful gifts that can be made to an academic institution. Funds provided by an endowed chair are used to attract and retain nationally or internationally recognized scholars or researchers. An endowed chair may provide full salary or a salary supplement and fringe benefits for the recipient of the chair, for support staff, or for other expenses related to the position.

Endowed Professorship and/or Scholar: To establish a endowed professorship or scholar, a minimum gift commitment of \$500,000 is required. Funds generated by an endowed professorship are used to support the salary or work of the holder, who may also use the honorary title associated with the distinguished professorship.

Endowed Visiting Professorship and/or Scholar: To establish an endowed visiting professorship or scholar, a minimum gift commitment of \$500,000 for establishment of such an endowment is required.

Endowed Lectureship: To establish an endowed lectureship, a minimum gift commitment of \$250,000 for establishment of an endowment is required. The annual proceeds from this endowment will be used to pay for honoraria, publicity, and the expenses of one or more members of the faculty or visiting lecturers from another institution or organization to present a lecture or series of lectures on campus.

Term Lectureship: Establishing a term lectureship without any provision for endowment requires a minimum gift commitment of \$15,000, payable at the rate of \$7,500 a year for a minimum of two (2) years.

Named Laboratory or Special Scholarly Program Endowment: To establish a named laboratory or special scholarly program within a college or school (not room), a minimum gift commitment of \$250,000 for establishment of an endowment is required, and depending on the discipline and size and scope of the program, this amount may be significantly larger. The annual earnings from the endowment will be used for the purchase of equipment and research expenses.

Named Student Endowed Fellowship: To establish a named student endowed fellowship, a minimum gift commitment of \$150,000 for establishment of an endowment is required, and depending on the discipline and size and scope of the program, this amount may be larger. A fellowship is ordinarily awarded to an outstanding student who is working toward an advanced degree in a graduate program.

Named Graduate Assistantship: To establish a named graduate assistantship endowment, a minimum commitment of \$100,000 is required depending on the size and scope of the program. A named graduate assistantship endowment is awarded to a student who is working on an advanced degree in a graduate program.

Named Endowed Scholarship: To establish a named endowed scholarship, a minimum gift commitment of \$10,000 for the endowment is required. An endowed scholarship may be awarded by the University to an undergraduate or graduate student on the basis of need or academic merit.

Other Named Endowed Funds: The endowed funds described above are listed because of their broad appeal to prospective donors for most colleges, schools, departments, and units of the University. Other named endowment funds may support specific areas of the University, such as the library book fund or other areas, and will require a minimum commitment of \$10,000. Certain special situations not covered above may be considered for named endowments.

Specific Gift Naming Opportunities for Facilities (or Portions Thereof) and Streets,

Introduction

The University of South Alabama considers the naming of a University facility or street in honor or memory of an individual to be one of the highest distinctions that it can bestow. The term “facility” is intended to include all or portions of buildings of all types, as well as all sports facilities. It is also intended to include all outdoor areas (except for streets) that may not have physical walls but are nonetheless identifiable areas of campus landscape, such as quadrangles, gardens, lakes, recreation fields, etc. The Office of Development and Alumni Relations must consult with the University’s Director of Tax Accounting prior to any solicitation of donations for naming rights of a facility to

determine any conflicts such rights may have with other sources of funding for the construction of the facility.

The act of naming a University facility or street for a person is the conferral of not only a high honor but, also, a conspicuous honor. Given that a name may be on display for decades, the task of naming should not be taken lightly. The University will carefully consider each name, seek advice, and use the utmost discretion in considering such naming opportunities. In light of the importance and magnitude of this honor, the following shall apply to the naming of all facilities and streets on all campuses of the University of South Alabama, and any such naming or exceptions to this policy shall be approved by the President and the Board of Trustees.

Buildings

The process for approval by the University President and the USA Board of Trustees of the naming of any existing building in honor or memory of an individual as a result of a private gift normally will be initiated by a recommendation from the dean of the college or school, or the chief administrator of a unit to which the facility is dedicated, to the Provost or the Vice President for Medical Affairs and to the Vice President for Development and Alumni Relations (only for gift situations). Further recommendations will be forwarded to the University President, who will, if he/she concurs or if appropriate, recommend to the Board of Trustees Development, Endowment and Investments Committee for recommendation for approval to the full Board of Trustees.

Proposals to name buildings must be approved formally by the University President before a formal proposal or request is made to a donor, and no final commitment to name a building can be made without all of the above approvals. Except for Planned Gifts, for the naming of an existing building to be publicly announced, 50 percent of the pledge payment associated with the naming opportunity must be received.

Normally, all nominations to name facilities for a person who is recently deceased (one year or less) must be held for a minimum period of one year before final consideration.

Requests to name a building in honor or in memory of an individual should be accompanied with documentation of the person's achievements and contributions, including letters of recommendation, biographical summaries and media reports, and must be submitted to the Office of the Vice President for Development and Alumni Relations for review prior to submitting them to the University President.

Portions of Facilities and Streets

The naming of streets and significant portions of buildings, rooms or areas within or surrounding buildings in honor of persons, living or deceased, must be recommended by the appropriate University dean or appropriate administrator to the Provost or Vice President for Medical Affairs and the Vice President for Development and Alumni Relations. Further recommendations will be forwarded to the University President, then by him or her, to the Board of Trustees for final approval. Streets and outdoor spaces should be named only when the University can assure that the space is not a planned future construction site. The value of each space will vary in accordance with its purpose, size and visibility.

Recommended Minimums for University Facilities or Portions Thereof

(Exceptions may be approved by the University President and in consultation with the Board of Trustees.)

Facilities and Spaces

New and/or Existing Buildings	Minimum 50 percent of approved cost of new construction at the President's discretion; 33 percent of replacement cost or 50 percent of approved renovation cost, whichever is the lesser, at the President's discretion. To name a building holding one or more colleges or schools, a minimum gift commitment of \$10 million is required.
Outdoor Spaces	\$50,000 or higher
Laboratory Rooms	\$50,000 or higher
Administrative Offices	\$30,000
Dean's Offices	\$25,000
Classrooms	\$10,000
Faculty Offices	\$5,000
Unique Special Purpose Rooms	To be determined on a case-by-case basis
Other	As established in approved naming plans

Classrooms, Laboratories, Offices, and Other Internal Facility Spaces

The University may name classrooms, laboratories, offices, and other internal facility spaces in recognition of a significant gift. While the above-referenced figures are guidelines, the value of each of these spaces may vary with the size and circumstance of the space. Each college and unit will establish an inventory of such spaces in keeping with the guidelines cited above. Prior to any solicitations, the inventory must be approved by the President of the University, the appropriate vice president and/or dean, and the Vice President for Development and Alumni Relations.

Additional Procedures

The Vice President for Development and Alumni Relations with the respective vice president, dean or appropriate senior administrator must review all proposed naming offers which are associated with private gifts to the University, prior to making a formal proposal or request to a donor, and recommend appropriate action to the University President.

Special Provisions for Estate Gifts

There may be circumstances in which a naming opportunity may be funded by a deferred gift as part of a donor's estate. Such opportunities will be recommended to the University President at the discretion of the Vice President for Development and Alumni Relations in consultation with the appropriate vice president, dean or appropriate senior administrator after examining such factors as the irrevocability of the gift and the degree to which the agreed upon amount is guaranteed through the estate gift vehicle. Deferred gifts for naming purposes generally will be discounted to present value to determine whether the gift meets the minimum gift level for the appropriate facility. Timing of naming must be addressed in the gift agreement and will normally follow receipt by the University of the funds from the estate. Donors of estate gifts who desire naming to be granted during their lifetimes may be required to make a current gift of at least 50 percent of the naming minimum prior to application of the naming. In these cases, gift agreements must clearly document the understanding that the naming will be altered or removed if the full naming requirements are not met when the estate portion of the gift is realized.

Relationship Between Naming Opportunity and Gift Beneficiary

In the case of existing facilities and indoor and outdoor spaces, a gift need not be tied to the purpose of the facility or space being named. The University may elect to name existing facilities for individuals, corporations or foundations for gifts to the University for other purposes which positively impact the University's mission or future.

Termination of Names Associated with Facilities

Unlike a permanent endowment, University facilities depreciate over time. Accordingly, the naming of a University building, facility or street is specific to the named facility. Where appropriate, the naming may be fixed for a term of years; otherwise, the naming will normally terminate when the building, facility or street is demolished, substantially altered, or no longer in use by the University. Where feasible, a donor recognized through the naming of a University building, facility or street that is being replaced will be provided first right of refusal to provide a naming gift for the replacement facility.

The University President and the Board of Trustees reserve the right to modify, remove or co-name any endowment, facility, program, building (or any portion thereof) and outdoor spaces should special or unusual circumstances warrant such action.

In the event of default of a pledge, all naming opportunities associated with such pledge will be revoked.



**UNIVERSITY OF SOUTH ALABAMA
BUDGET
2017-2018**

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**UNIVERSITY OF SOUTH ALABAMA
2017-2018 BUDGET SUMMARY
TOTAL CURRENT FUNDS**

	2017-2018 BUDGET		2016-2017 BUDGET
	UNRESTRICTED	RESTRICTED	TOTAL
REVENUES:			
TUITION AND FEES	\$ 167,764,277	\$	\$ 167,764,277
STATE APPROPRIATIONS	107,284,718		107,284,718
FEDERAL GRANTS AND CONTRACTS	3,025,000	35,400,000	38,425,000
STATE AND LOCAL GRANTS AND CONTRACTS	509,924	6,500,000	7,009,924
PRIVATE GIFTS, GRANTS AND CONTRACTS	10,660,000	6,200,000	16,860,000
MOBILE RACING COMMISSION	10,000		10,000
SALES AND SERVICES OF EDUCATIONAL ACTIVITIES	7,441,750		7,441,750
USA HEALTH	531,848,359		531,848,359
MITCHELL CANCER INSTITUTE	17,775,126		17,775,126
AUXILIARY ENTERPRISES	30,614,429		30,614,429
OTHER SOURCES	7,271,283	6,000,000	13,271,283
TOTAL REVENUES	<u>884,204,866</u>	<u>54,100,000</u>	<u>938,304,866</u>
EXPENDITURES AND MANDATORY TRANSFERS:			
EDUCATIONAL AND GENERAL:			
INSTRUCTION	110,684,027	8,400,000	119,084,027
RESEARCH	6,111,658	14,000,000	20,111,658
PUBLIC SERVICE	8,896,639	5,400,000	14,296,639
ACADEMIC SUPPORT	29,019,887		29,019,887
STUDENT SERVICES	32,018,941	1,000,000	33,018,941
INSTITUTIONAL SUPPORT	25,832,304		25,832,304
OPERATION AND MAINTENANCE OF PLANT	31,090,533		31,090,533
SCHOLARSHIPS	22,782,367	26,500,000	49,282,367
EDUCATIONAL AND GENERAL EXPENDITURES	<u>266,436,356</u>	<u>55,300,000</u>	<u>321,736,356</u>
MANDATORY TRANSFERS FOR:			
PRINCIPAL AND INTEREST	21,632,949		21,632,949
LOAN FUND MATCHING GRANTS	150,000		150,000
TOTAL EDUCATIONAL AND GENERAL	<u>288,219,305</u>	<u>55,300,000</u>	<u>343,519,305</u>
USA HEALTH (INCLUDING DEBT SERVICE OF \$9,294,106):	<u>534,745,615</u>		<u>534,745,615</u>
MITCHELL CANCER INSTITUTE (INCLUDING DEBT SERVICE OF \$1,913,632):	<u>25,771,965</u>		<u>25,771,965</u>
AUXILIARY ENTERPRISES:			
EXPENDITURES	25,323,338		25,323,338
MANDATORY TRANSFERS FOR:			
PRINCIPAL AND INTEREST	4,806,860		4,806,860
TOTAL AUXILIARY ENTERPRISES	<u>30,130,198</u>		<u>30,130,198</u>
TOTAL EXPENDITURES AND MANDATORY TRANSFERS	<u>878,867,083</u>	<u>55,300,000</u>	<u>934,167,083</u>
OTHER TRANSFERS AND ADDITIONS/(DEDUCTIONS):			
RENEWALS AND REPLACEMENTS	(10,158,710)		(10,158,710)
OTHER TRANSFERS	3,284,594	1,200,000	4,484,594
NET INCREASE (DECREASE) IN FUND BALANCES	<u>\$ (1,536,333)</u>	<u>\$ 0</u>	<u>\$ (1,536,333)</u>

**UNIVERSITY OF SOUTH ALABAMA
2017-2018 BUDGET SUMMARY
UNRESTRICTED CURRENT FUNDS**

	OPERATIONS AND MAINTENANCE	COLLEGE OF MEDICINE	USA HEALTH	MITCHELL CANCER INSTITUTE	AUXILIARY ENTERPRISES	2017-2018 BUDGET	2016-2017 BUDGET
REVENUES:							
TUITION AND FEES	\$ 155,643,277	\$ 12,121,000	\$ 8,977,473	\$ 5,000,000	\$ 167,764,277	\$ 162,229,277	
STATE APPROPRIATIONS	65,335,214	27,972,031			107,284,718	107,284,718	
FEDERAL GRANTS AND CONTRACTS	925,000	2,100,000			3,025,000	3,810,832	
STATE AND LOCAL GRANTS AND CONTRACTS	315,000	194,924			509,924	503,938	
PRIVATE GIFTS, GRANTS AND CONTRACTS	3,610,000	7,050,000			10,660,000	9,833,100	
MOBILE RACING COMMISSION		10,000			10,000	25,000	
SALES AND SERVICES OF EDUCATIONAL ACTIVITIES	7,441,750				7,441,750	8,677,750	
USA HEALTH			531,848,359	17,775,126		491,136,075	
MITCHELL, CANCER INSTITUTE						21,034,461	
AUXILIARY ENTERPRISES - SALES AND SERVICES					30,614,429	29,054,025	
OTHER SOURCES	5,721,283	1,550,000			7,271,283	7,770,467	
TOTAL REVENUES	<u>238,991,524</u>	<u>50,997,955</u>	<u>540,825,832</u>	<u>22,775,126</u>	<u>884,204,866</u>	<u>841,359,643</u>	
EDUCATIONAL AND GENERAL:							
INSTRUCTION	84,054,749	26,629,278				110,931,942	
RESEARCH	2,951,658	3,160,000				5,555,174	
PUBLIC SERVICE	3,000,000	5,896,639				9,094,064	
ACADEMIC SUPPORT	24,812,437	4,207,450				29,019,887	
STUDENT SERVICES	30,170,006	1,848,935				32,018,941	
INSTITUTIONAL SUPPORT	22,570,772	3,261,532				25,832,304	
OPERATION AND MAINTENANCE OF PLANT	26,674,355	4,416,178				31,090,533	
SCHOLARSHIPS	21,614,867	1,167,500				22,782,367	
EDUCATIONAL AND GENERAL EXPENDITURES	<u>215,848,844</u>	<u>50,587,512</u>				<u>266,436,356</u>	
MANDATORY TRANSFERS FOR:							
PRINCIPAL AND INTEREST	21,232,506	400,443				21,632,949	
LOAN FUND MATCHING GRANTS	150,000					150,000	
TOTAL EDUCATIONAL AND GENERAL	<u>237,231,350</u>	<u>50,987,955</u>				<u>288,219,305</u>	
USA HEALTH:							
EXPENDITURES AND MANDATORY TRANSFERS			534,745,615			534,745,615	
MITCHELL, CANCER INSTITUTE:							
EXPENDITURES AND MANDATORY TRANSFERS				25,771,965		25,771,965	
AUXILIARY ENTERPRISES:							
EXPENDITURES					25,323,338	25,323,338	
MANDATORY TRANSFERS FOR PRINCIPAL AND INTEREST					4,806,860	4,806,860	
TOTAL AUXILIARY ENTERPRISES					<u>30,130,198</u>	<u>30,130,198</u>	
TOTAL EXPENDITURES AND MANDATORY TRANSFERS	<u>237,231,350</u>	<u>50,987,955</u>	<u>534,745,615</u>	<u>25,771,965</u>	<u>30,130,198</u>	<u>878,867,083</u>	<u>836,551,218</u>
OTHER TRANSFERS AND ADDITIONS(DEDUCTIONS):							
RENEWALS AND REPLACEMENTS	(4,100,000)		(5,574,479)		(484,231)	(10,158,710)	(10,400,305)
OTHER TRANSFERS	803,493	(10,000)	(505,738)	2,996,839	3,284,594	5,591,880	5,591,880
NET INCREASE (DECREASE) IN FUND BALANCES	<u>(1,536,333)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(1,536,333)</u>	<u>0</u>

**UNIVERSITY OF SOUTH ALABAMA
2017-2018 BUDGET SUMMARY
RESTRICTED CURRENT FUNDS**

	<u>OPERATIONS AND MAINTENANCE</u>	<u>COLLEGE OF MEDICINE</u>	<u>MITCHELL CANCER INSTITUTE</u>	<u>2017-2018 BUDGET</u>	<u>2016-2017 BUDGET</u>
REVENUES:					
FEDERAL GRANTS AND CONTRACTS	\$ 26,800,000	\$ 5,400,000	\$ 3,200,000	\$ 35,400,000	\$ 36,300,000
STATE AND LOCAL GRANTS AND CONTRACTS	4,900,000	1,500,000	100,000	6,500,000	6,900,000
PRIVATE GIFTS, GRANTS AND CONTRACTS	4,800,000	1,200,000	200,000	6,200,000	8,600,000
OTHER	4,500,000	1,300,000	200,000	6,000,000	5,600,000
TOTAL REVENUES	<u>41,000,000</u>	<u>9,400,000</u>	<u>3,700,000</u>	<u>54,100,000</u>	<u>57,400,000</u>
EXPENDITURES:					
EDUCATIONAL AND GENERAL:					
INSTRUCTION	6,500,000	1,600,000	300,000	8,400,000	10,800,000
RESEARCH	4,700,000	5,600,000	3,700,000	14,000,000	12,100,000
PUBLIC SERVICE	3,200,000	2,200,000		5,400,000	8,600,000
STUDENT SERVICES	1,000,000			1,000,000	1,000,000
SCHOLARSHIPS	26,000,000	500,000		26,500,000	27,000,000
TOTAL EXPENDITURES	<u>41,400,000</u>	<u>9,900,000</u>	<u>4,000,000</u>	<u>55,300,000</u>	<u>59,500,000</u>
OTHER TRANSFERS AND ADDITIONS/(DEDUCTIONS):					
OTHER TRANSFERS	<u>400,000</u>	<u>500,000</u>	<u>300,000</u>	<u>1,200,000</u>	<u>2,100,000</u>
NET INCREASE (DECREASE) IN FUND BALANCES	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>

UNIVERSITY OF SOUTH ALABAMA
OPERATIONS AND MAINTENANCE
2017-2018 BUDGET
UNRESTRICTED CURRENT FUNDS

	<u>2017-2018</u>	<u>2016-2017</u>
	<u>BUDGET</u>	<u>BUDGET</u>
REVENUES:		
TUITION AND FEES	\$ 155,643,277	\$ 149,897,277
ALLOCATION OF STATE APPROPRIATIONS	65,335,214	65,335,214
FEDERAL GRANTS AND CONTRACTS	925,000	910,832
STATE GRANTS AND CONTRACTS	315,000	316,349
PRIVATE GIFTS, GRANTS AND CONTRACTS	3,610,000	2,783,100
SALES AND SERVICES OF EDUCATIONAL ACTIVITIES	7,441,750	8,677,750
OTHER SOURCES	<u>5,721,283</u>	<u>6,220,467</u>
TOTAL REVENUES	<u>238,991,524</u>	<u>234,140,989</u>
EXPENDITURES AND MANDATORY TRANSFERS:		
EDUCATIONAL AND GENERAL:		
INSTRUCTION	84,054,749	84,827,286
RESEARCH	2,951,658	3,345,174
PUBLIC SERVICE	3,000,000	3,100,000
ACADEMIC SUPPORT	24,812,437	20,012,823
STUDENT SERVICES	30,170,006	29,346,069
INSTITUTIONAL SUPPORT	22,570,772	22,324,838
OPERATION AND MAINTENANCE OF PLANT	26,674,355	25,882,520
SCHOLARSHIPS	<u>21,614,867</u>	<u>20,795,867</u>
EDUCATIONAL AND GENERAL EXPENDITURES	<u>215,848,844</u>	<u>209,634,577</u>
MANDATORY TRANSFERS:		
PRINCIPAL AND INTEREST	21,232,506	19,134,894
LOAN FUND MATCHING GRANTS	<u>150,000</u>	<u>150,000</u>
TOTAL EXPENDITURES AND MANDATORY TRANSFERS	<u>237,231,350</u>	<u>228,919,471</u>
OTHER TRANSFERS AND ADDITIONS/(DEDUCTIONS):		
OTHER TRANSFERS	803,493	(1,121,518)
RENEWALS AND REPLACEMENTS	<u>(4,100,000)</u>	<u>(4,100,000)</u>
NET INCREASE (DECREASE) IN FUND BALANCES	<u>\$ (1,536,333)</u>	<u>\$ 0</u>

UNIVERSITY OF SOUTH ALABAMA
 COLLEGE OF MEDICINE
 2017-2018 BUDGET
 UNRESTRICTED CURRENT FUNDS

	2017-2018 BUDGET	2016-2017 BUDGET
REVENUES:		
TUITION AND FEES	\$ 12,121,000	\$ 12,332,000
ALLOCATION OF STATE APPROPRIATIONS	27,972,031	27,972,031
FEDERAL GRANTS AND CONTRACTS	2,100,000	2,900,000
STATE GRANTS AND CONTRACTS	194,924	187,589
PRIVATE GIFTS, GRANTS AND CONTRACTS	7,050,000	7,050,000
MOBILE RACING COMMISSION	10,000	25,000
OTHER SOURCES	1,550,000	1,550,000
TOTAL REVENUES	50,997,955	52,016,620
EXPENDITURES AND MANDATORY TRANSFERS:		
EDUCATIONAL AND GENERAL:		
INSTRUCTION	26,629,278	26,104,656
RESEARCH	3,160,000	2,210,000
PUBLIC SERVICE	5,896,639	5,994,064
ACADEMIC SUPPORT	4,207,450	5,428,578
STUDENT SERVICES	1,848,935	2,004,142
INSTITUTIONAL SUPPORT	3,261,532	3,059,800
OPERATION AND MAINTENANCE OF PLANT	4,416,178	5,934,937
SCHOLARSHIPS	1,167,500	1,155,000
EDUCATIONAL AND GENERAL EXPENDITURES	50,587,512	51,891,177
MANDATORY TRANSFERS:		
PRINCIPAL AND INTEREST	400,443	400,443
TOTAL EXPENDITURES AND MANDATORY TRANSFERS	50,987,955	52,291,620
OTHER TRANSFERS AND ADDITIONS/(DEDUCTIONS):		
OTHER TRANSFERS	(10,000)	275,000
NET INCREASE (DECREASE) IN FUND BALANCES	\$ 0	\$ 0

UNIVERSITY OF SOUTH ALABAMA
USA HEALTH
2017-2018 BUDGET
UNRESTRICTED CURRENT FUNDS

	<u>2017-2018</u>	<u>2016-2017</u>
	<u>BUDGET</u>	<u>BUDGET</u>
REVENUES:		
GROSS PATIENT REVENUES	\$ 865,460,061	\$ 780,420,154
CONTRACTUAL ADJUSTMENTS	376,286,463	343,402,626
OTHER ADJUSTMENTS	3,896,961	(3,748,470)
TOTAL DEDUCTIONS FROM REVENUES	<u>380,183,424</u>	<u>339,654,156</u>
NET PATIENT REVENUES	485,276,637	440,765,998
ALLOCATION OF STATE APPROPRIATIONS	8,977,473	8,977,473
MOBILE COUNTY HOSPITAL BOARD	16,400,000	15,700,000
MOBILE COUNTY INDIGENT CARE BOARD	326,583	533,004
MEDICAID DISPROPORTIONATE SHARE	21,336,209	19,485,288
OTHER REVENUES	<u>8,508,930</u>	<u>14,651,785</u>
TOTAL REVENUES	<u>540,825,832</u>	<u>500,113,548</u>
EXPENDITURES AND MANDATORY TRANSFERS:		
EXPENDITURES:		
NURSING SERVICES	111,702,744	110,028,122
PROFESSIONAL SERVICES	120,687,696	108,423,040
GENERAL DIVISION	23,453,024	23,635,334
ADMINISTRATIVE DIVISION	74,030,091	70,122,527
MEDICAL EDUCATION	19,434,961	19,766,677
AMBULATORY CLINICS	82,304,107	74,913,492
PROVISION FOR UNCOLLECTIBLE ACCOUNTS (NET OF RECOVERIES)	<u>93,838,886</u>	<u>86,919,547</u>
TOTAL EXPENDITURES	<u>525,451,509</u>	<u>493,808,739</u>
MANDATORY TRANSFERS FOR:		
PRINCIPAL AND INTEREST	9,294,106	6,110,165
TOTAL EXPENDITURES AND MANDATORY TRANSFERS	<u>534,745,615</u>	<u>499,918,904</u>
OTHER TRANSFERS AND ADDITIONS/(DEDUCTIONS):		
RENEWALS AND REPLACEMENTS	(5,574,479)	(5,771,000)
OTHER TRANSFERS	<u>(505,738)</u>	<u>5,576,356</u>
NET INCREASE (DECREASE) IN FUND BALANCES	<u>\$ 0</u>	<u>\$ 0</u>

UNIVERSITY OF SOUTH ALABAMA
MITCHELL CANCER INSTITUTE
2017-2018 BUDGET
UNRESTRICTED CURRENT FUNDS

	2017-2018	2016-2017
	BUDGET	BUDGET
REVENUES:		
GROSS PATIENT REVENUES	\$ 30,189,049	\$ 31,849,606
ALLOCATION OF STATE APPROPRIATIONS	5,000,000	5,000,000
OTHER REVENUES	<u>3,289,404</u>	<u>4,029,639</u>
TOTAL REVENUES	<u>38,478,453</u>	<u>40,879,245</u>
LESS: CONTRACTUAL ADJUSTMENTS	<u>15,703,327</u>	<u>14,844,784</u>
NET REVENUES	<u>22,775,126</u>	<u>26,034,461</u>
EXPENDITURES AND MANDATORY TRANSFERS:		
EXPENDITURES:		
PROFESSIONAL SERVICES	7,721,831	8,723,818
ADMINISTRATIVE DIVISION	15,487,930	15,529,139
PROVISION FOR UNCOLLECTIBLE ACCOUNTS (NET OF RECOVERIES)	<u>648,572</u>	<u>1,503,346</u>
TOTAL EXPENDITURES	<u>23,858,333</u>	<u>25,756,303</u>
MANDATORY TRANSFERS:		
PRINCIPAL AND INTEREST	<u>1,913,632</u>	<u>1,140,200</u>
TOTAL EXPENDITURES AND MANDATORY TRANSFERS	<u>25,771,965</u>	<u>26,896,503</u>
OTHER TRANSFERS AND ADDITIONS/(DEDUCTIONS):		
OTHER TRANSFERS	<u>2,996,839</u>	<u>862,042</u>
NET INCREASE (DECREASE) IN FUND BALANCES	<u>\$ 0</u>	<u>\$ 0</u>

**UNIVERSITY OF SOUTH ALABAMA
STATE APPROPRIATIONS
EDUCATION TRUST FUND**

<u>2017-2018</u>	<u>2016-2017</u>
\$ <u>107,284,718</u>	\$ <u>107,284,718</u>

COMMITTEE MINUTES

**UNIVERSITY OF SOUTH ALABAMA
BOARD OF TRUSTEES**

AUDIT COMMITTEE

September 7, 2017

1:30 p.m.

A meeting of the Audit Committee of the University of South Alabama Board of Trustees was duly convened by Mr. John Peek, Chair, on Thursday, September 7, 2017, at 1:32 p.m. in the Board Room of the Frederick P. Whiddon Administration Building.

Members Present: Ron Jenkins, John Peek and Jimmy Shumock.

Members Absent: Scott Charlton and Bryant Mixon.

Other Trustees: Alexis Atkins, Chandra Brown Stewart, Tom Corcoran, Steve Furr, Bettye Maye, Arlene Mitchell, Ken Simon, Steve Stokes, Mike Windom and Jim Yance.

Administration and Others: Owen Bailey, Robert Berry, Lynne Chronister, Ken Davis, Joel Erdmann, Monica Ezell, Mike Finan, Happy Fulford, Mike Haskins, David Johnson, Melva Jones, John Marymont, Eileen McGinn and Ashley Willson (KPMG), Mike Mitchell, John Smith, Margaret Sullivan, Carl Thomas (SGA), Jean Tucker, Elizabeth VandeWaa (Faculty Senate), Tony Waldrop and Scott Weldon.

The meeting came to order and the attendance roll was called. Mr. Peek called for consideration of the minutes of the meeting held on June 1, 2017. On motion by Mr. Shumock, seconded by Dr. Stokes, the minutes were adopted unanimously.

Mr. Peek called upon Mr. Weldon for presentation of **ITEM 6**, the KPMG audit report. Mr. Weldon introduced KPMG partners Ms. Eileen McGinn and Ms. Ashley Willson, who discussed preliminary plans for the financial audit for the fiscal year ending September 30, 2017, as outlined in the document titled *Planning Report to the Audit Committee*. The topic of cyber security was addressed and Mr. Peek suggested that additional conversations should take place in the near future on the possibility of conducting a technology audit. Further, he asked that the KPMG team contact Chairman Simon about a more thorough review of internal controls.

Mr. Peek asked Mr. Berry to report on a quality assessment of the University's internal audit functions, **ITEM 7**. Mr. Berry talked about the Office of Internal Audit's commitment to conduct regular quality assessment reviews (QAR) every five years, as recommended by the Institute of Internal Auditors. He explained the three-phase process per cycle that would involve a three- to

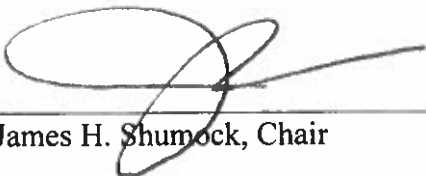
Audit Committee
September 7, 2017
Page 2

six-month period of self-analysis to identify areas of concern; remediation and testing of new methods for effectiveness; and engagement of a consultant to complete the QAR.

Mr. Peek called on Mr. Davis to present **ITEM 8**, a report on the independent audit of the USA Foundation's (USAF) consolidated financial statements and the disproportionate share hospital (DSH) funds combined financial statements for the period ended June 30, 2017. Mr. Davis stated both received an unqualified opinion.

There being no further business, the meeting was adjourned at 2:16 p.m.

Respectfully submitted:



James H. Shumock, Chair

**UNIVERSITY OF SOUTH ALABAMA
BOARD OF TRUSTEES**

DEVELOPMENT, ENDOWMENT AND INVESTMENTS COMMITTEE

**September 7, 2017
2:16 p.m.**

A meeting of the Development, Endowment and Investments Committee of the University of South Alabama Board of Trustees was duly convened by Mr. Jim Yance, Committee Chair, on Thursday, September 7, 2017, at 2:16 p.m. in the Board Room of the Frederick P. Whiddon Administration Building.

Members Present: Chandra Brown Stewart, Tom Corcoran, Ron Jenkins, Steve Stokes, Mike Windom and Jim Yance.

Other Trustees: Alexis Atkins, Steve Furr, Bettye Maye, Arlene Mitchell, John Peek, Jimmy Shumock and Ken Simon.

Administration and Others: Terry Albano, Owen Bailey, Robert Berry, Lynne Chronister, Joel Erdmann, Monica Ezell, Mike Finan, Happy Fulford, Mike Haskins, David Johnson, Melva Jones, Matt Kinnear and Mike Douglass (Gerber Taylor) John Marymont, Mike Mitchell, John Smith, Margaret Sullivan, Carl Thomas (SGA), Jean Tucker, Elizabeth VandeWaa (Faculty Senate), Tony Waldrop and Scott Weldon.

The meeting came to order and the attendance roll was called. Mr. Yance called for consideration of the minutes of the meeting held on June 1, 2017. On motion by Mr. Windom, seconded by Mr. Corcoran, the minutes were adopted unanimously.

Mr. Yance called for presentation of **ITEM 9**, a report on endowment and investment performance. Mr. Albano discussed performance for the period October 1, 2016, through July 31, 2017, noting a 10.41 percent return vs. the relative index of 8.99 percent, or an outperformance of 1.42 percent. He addressed manager outperformance and asset allocation, noting compliance with University guidelines. Mr. Pittman discussed a \$5 million decrease in equity exposure, as well as positive returns from overseas investments. As to annualized performance since inception, Mr. Albano advised of a 5.28 percent return vs. the relative index of 4.23 percent, an outperformance of .96 percent.

Mr. Albano introduced Mr. Matt Kinnear and Mr. Mike Douglass of Gerber Taylor, who discussed investment performance, shared market perspective and answered questions.

Mr. Yance called for a report on the activities of the Division of Development and Alumni Relations, **ITEM 10**. Dr. Stokes, Upward & Onward Campaign Co-Chair, gave an update on

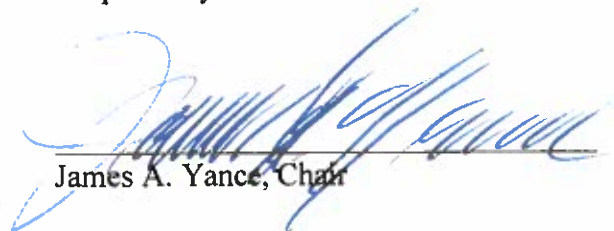
campaign results, noting 69 percent, or \$103.5 million, of the \$150 million campaign goal met as of August 25, 2015. He encouraged the South Alabama community to continue to be active for the remainder of the campaign. Mr. Yance called on Ms. Sullivan, who recognized the meaningful commitment of the Stokeses in chairing the campaign. She acknowledged the work ahead and shared enthusiasm for what had been accomplished. She gave an overview of the fundraising impact by strategic priority and noted a \$14.4 million fundraising impact made possible through the Mitchell-Moulton Scholarship Initiative. She anticipated that implementation of a new database and software system would improve Development team capabilities. Also discussed was completion of the Annual Fund with \$730,000 raised by 60 percent of the employees.

Ms. Sullivan presented **ITEM 11**, a resolution to approve a revised Building and Program Naming Guidelines and Minimum Gift Levels for Named Endowment Policy (for copies of resolutions, policies and other authorized documents, refer to the minutes of the Board of Trustees meeting held on September 8, 2017). She said the document was originally adopted by the Board in 2004 and noted, with the growth of the University, the time was right to make adjustments. Mr. Yance thanked Ms. Sullivan for her diligent efforts on this project. On motion by Mr. Windom, seconded by Mr. Corcoran, the Committee voted unanimously to recommend approval of the resolution by the Board of Trustees.

Ms. Sullivan addressed **ITEM 12**, a resolution acknowledging the significant contributions of Patsy and Ray Kennedy, which included establishment of scholarship funds for the College of Nursing and for children of alumni, and expressing the University's appreciation. On motion by Dr. Stokes, seconded by Mr. Windom, the Committee voted unanimously to recommend approval of the resolution by the Board of Trustees.

There being no further business, the meeting was adjourned at 2:40 p.m.

Respectfully submitted:



James A. Yance, Chair

**UNIVERSITY OF SOUTH ALABAMA
BOARD OF TRUSTEES**

HEALTH AFFAIRS COMMITTEE

**September 7, 2017
2:40 p.m.**

A meeting of the Health Affairs Committee of the University of South Alabama Board of Trustees was duly convened by Dr. Steve Furr, Chair, on Thursday, September 7, 2017, at 2:40 p.m. in the Board Room of the Frederick P. Whiddon Administration Building.

Members Present: Alexis Atkins, Chandra Brown Stewart, Steve Furr, Bettye Maye, Arlene Mitchell and Steve Stokes.

Member Absent: Scott Charlton.

Other Trustees: Tom Corcoran, Ron Jenkins, John Peek, Jimmy Shumock, Ken Simon, Mike Windom and Jim Yance.

Administration and Others: Owen Bailey, Robert Berry, Lynne Chronister, Joel Erdmann, Monica Ezell, Mike Finan, Art Frankel, Happy Fulford, Mike Haskins, David Johnson, Melva Jones, John Marymont, Richard Marks, Mike Mitchell, John Smith, Lisa Spiryda, Margaret Sullivan, Carl Thomas (SGA), Jeremy Towns, Jean Tucker, Elizabeth VandeWaa (Faculty Senate), Tony Waldrop and Scott Weldon.

The meeting came to order and attendance roll was called. Dr. Furr called for consideration of the minutes of the meeting held on June 1, 2017. On motion by Ms. Mitchell, seconded by Ms. Brown Stewart, the Committee voted unanimously to adopt the minutes.

Dr. Furr addressed **ITEM 13**, a resolution authorizing the USA Hospitals medical staff appointments and reappointments for May, June and July 2017 (for copies of resolutions, policies and other authorized documents, refer to the minutes of the Board of Trustees meeting held on September 8, 2017). On motion by Ms. Mitchell, seconded by Ms. Atkins, the Committee voted unanimously to recommend approval of the resolution by the Board of Trustees.

Dr. Furr called on Dr. Marymont for presentation of **ITEM 14**, a report on the activities of the Division of USA Health and the College of Medicine. Dr. Marymont provided admissions statistics on the College of Medicine's Class of 2021. He introduced second-year medical student Mr. Jeremy Towns, who shared his story as a former NFL football player and his aspirations of becoming a physician. The group viewed an inspiring video featuring Mr. Towns. Mr. Towns addressed the group and thanked the Board for opportunities that allowed him to fulfill his dream.

Dr. Marymont introduced Dr. Lisa Spiryda, Professor and Chair of the Department of Obstetrics and Gynecology, and Dr. Richard Marks, Professor and Chair of the Department of Orthopaedic Surgery. Each shared a few words and answered questions.

Dr. Marymont asked Mr. Bailey to discuss a new outreach program. Mr. Bailey reported that Ms. Ashton Hennig, Outreach Manager for USA Health, was working with Chief Policy Officer Mr. Danny Rickert to identify opportunities in rural communities in Alabama and Mississippi for USA Health to work with local hospitals and other providers. He indicated, by developing these relationships through strategies such as telehealth, USA Health would assist the providers in meeting needs, as well as develop and strengthen its referral networks.

Dr. Marymont talked about a collaboration between the Student-Run Free Clinic and the Pat Capps Covey College of Allied Health Professions to offer services to the local community. He said, each Friday afternoon, students visited the Salvation Army to treat patients.

Dr. Marymont introduced Dr. Finan, who talked about weekly outreach by the MCI in the city of Monroeville. He said plans to expand to other locations are under consideration.

Dr. Finan discussed a gift from the Mayer and Arlene Mitchell Charitable Foundation to establish the Arlene and Mayer Mitchell Endowed Chair of Medical Oncology. He said this gift made possible the recruitment of nationally renowned medical oncologist Dr. Art Frankel. He introduced Dr. Frankel, who addressed the group and thanked Ms. Mitchell for the opportunity.

Dr. Finan talked about the progress of the MCI's Kilborn Clinic in Fairhope. As photos were shown, he said the the facility opened on July 5 and 30 patients received treatment.

There being no further business, the meeting was adjourned at 3:26 p.m.

Respectfully submitted:



Steven P. Furr, M.D., Chair

**UNIVERSITY OF SOUTH ALABAMA
BOARD OF TRUSTEES**

ACADEMIC AND STUDENT AFFAIRS COMMITTEE

**September 7, 2017
3:26 p.m.**

A meeting of the Academic and Student Affairs Committee of the University of South Alabama Board of Trustees was duly convened by Ms. Bettye Maye, Chair, on Thursday, September 7, 2017, at 3:26 p.m. in the Board Room of the Frederick P. Whiddon Administration Building.

Members Present: Alexis Atkins, Steve Furr, Bettye Maye, John Peek and Mike Windom.

Members Absent: Scott Charlton and Bryant Mixon.

Other Trustees: Chandra Brown Stewart, Tom Corcoran, Ron Jenkins, Arlene Mitchell, Jimmy Shumock, Ken Simon, Steve Stokes and Jim Yance.

Administration and Others: Elizabeth Adams, Andrea Agnew, Owen Bailey, Robert Berry, Harriett Burroughs, Rick Carter, Lynne Chronister, Joel Erdmann, Monica Ezell, Mike Finan, Susan Fitzsimmons, Happy Fulford, André Green, Lesley Gregoricka, Philip Habel, Mike Haskins, Holly Hudson, David Johnson, Rochelle Johnson, Melva Jones, Christopher Lynch, John Marymont, David Messenger, Mike Mitchell, Jance Mosley, Becky Phan, John Smith, Justin St. Clair, Margaret Sullivan, Carl Thomas (SGA), Jean Tucker, Elizabeth VandeWaa (Faculty Senate), Tony Waldrop and Scott Weldon.

The meeting came to order and the attendance roll was called. Ms. Maye called for consideration of the minutes of the meeting held on June 1, 2017. On motion by Mr. Peek, seconded by Mr. Windom, the Committee voted unanimously to adopt the minutes.

Ms. Maye called upon Provost Johnson to address **ITEM 15**, a resolution acknowledging the contributions of retired Dean of the University Libraries Dr. Richard Wood and offering best wishes for the future (for copies of resolutions, policies and other authorized documents, refer to the minutes of the Board of Trustees meeting held on September 8, 2017). Provost Johnson gave background on Dr. Wood's 17-year career at South. On motion by Mr. Peek, seconded by Mr. Windom, the Committee voted unanimously to recommend approval of the resolution by the Board of Trustees.

Ms. Maye called for a report on the activities of the Division of Academic Affairs, **ITEM 16**. Provost Johnson introduced Provost Faculty Fellows Dr. André Green, Associate Dean - College of Education and Special Programs, and Dr. Justin St. Clair, Associate Professor – English Department. Also introduced were new chairs Dr. Philip Habel – Department of Political Science and Criminal

Justice; Dr. Elizabeth Adams – Department of Speech Pathology & Audiology; Dr. David Messenger – History Department; and Ms. Susan Fitzsimmons – Visual Arts Department.

Provost Johnson provided an update on a new Simulation Building, noting the project was currently in the planning stages. He described the \$6.8 million, 28,000-square-foot facility as state-of-the-art and said a construction proposal would be submitted at an upcoming Board meeting. He reminded the group that a new student fee which was recently approved, along with support from the USA Foundation, would fund the project.

Provost Johnson gave an update on enrollment for the 2017-2018 academic year, advising of a substantial decline, primarily in international enrollment. He shared comparative data on first-time entering freshmen, noting an increase in the average GPA from 3.48 to 3.60; a 24 percent increase in students with a 30+ ACT score -- or 164 students; and domestic enrollment up by 46 students. He said the inaugural Honors College class had 86 students enrolled. He presented a chart showing a 23 percent improvement in retention rates among first- to second-year students from 2010 to 2016 and a marked five percent increase from 2014-2015 to 2015-2016. He stated graduation rates were increasing as well and he credited Associate Vice President for Student Academic Success Dr. Nicole Carr for the University's positive momentum and subsequent recognition by the Education Advisory Board. A discussion took place on Honors College characteristics.

Provost Johnson asked Associate Vice President for Enrollment Services Mr. Christopher Lynch to discuss changes to domestic and international scholarships. Mr. Lynch outlined several adjustments to the structure for awarding scholarships.

Provost Johnson introduced Associate Vice President for Global Engagement Dr. Rick Carter for a report. Dr. Carter talked about the assimilation of all programs and activities involving international matters into one unit. He introduced International Education Director Ms. Holly Hudson, who gave an overview on international education opportunities at South and shared enthusiasm for the Study Abroad program's 295 percent enrollment increase over four years. She introduced students Ms. Becky Phan and Mr. Jance Mosley. Each shared their experiences studying in other countries.

Ms. Maye called for a report on the activities of the Division of Student Affairs, **ITEM 17**. Dr. Smith advised of the 100 percent occupancy of on-campus residential facilities and stated, to cover additional student needs for housing, the University had leased just over 200 beds from The Grove. He reminded the group that the new residential building under construction, Camellia Hall, was slated to open in the fall of 2018.


Dr. Mitchell shared background on the TRIO programs offered at South – Educational Talent Search and Upward Bound – which he said originated from the federal Economic Opportunity Act of 1964, with funding from the U. S. Department of Education. He noted neither program was available at

other institutions in Mobile or Baldwin counties. He introduced Assistant Dean of Students Dr. Andrea Agnew, who shared details on both programs, which avail resources to high school students to help them succeed and progress toward achievement of a postsecondary degree. She introduced Ms. Harriett Burroughs, Educational Talent Search coordinator, and Ms. Rochelle Johnson, Upward Bound coordinator.

Ms. Maye called for a report on the activities of the Division of Research and Economic Development, **ITEM 18**. Ms. Chronister introduced Assistant Professor of Sociology and Anthropology Dr. Lesley Gregoricka, who discussed her research involving centuries-old human skeletal remains and burial rituals in Poland.


There being no further business, the meeting was adjourned at 4:38 p.m.

Respectfully submitted:



Steven P. Furr, M.D., Vice Chair

On behalf of:



Scott A. Charlton, M.D., Chair

**UNIVERSITY OF SOUTH ALABAMA
BOARD OF TRUSTEES**

BUDGET AND FINANCE COMMITTEE

September 7, 2017

4:38 p.m.

A meeting of the Budget and Finance Committee of the University of South Alabama Board of Trustees was duly convened by Mr. Tom Corcoran, Chair, on Thursday, September 7, 2017, at 4:38 p.m. in the Board Room of the Frederick P. Whiddon Administration Building.

Members Present: Tom Corcoran, Arlene Mitchell, Steve Stokes and Jim Yance.

Member Absent: Bryant Mixon.

Other Trustees: Alexis Atkins, Chandra Brown Stewart, Steve Furr, Ron Jenkins, Bettye Maye, John Peek, Jimmy Shumock, Ken Simon and Mike Windom.

Administration and Others: Owen Bailey, Robert Berry, Lynne Chronister, Joel Erdmann, Monica Ezell, Mike Finan, Happy Fulford, Mike Haskins, David Johnson, Melva Jones, John Marymont, Mike Mitchell, John Smith, Margaret Sullivan, Carl Thomas (SGA), Jean Tucker, Elizabeth VandeWaa (Faculty Senate), Tony Waldrop and Scott Weldon.

The meeting came to order and the attendance roll was called. Mr. Corcoran called for consideration of the minutes of the meeting held on June 1, 2017. On motion by Mr. Yance, seconded by Mr. Corcoran, the minutes were adopted unanimously.

Mr. Corcoran called for presentation of the quarterly financial statements for the nine months ended June 30, 2017, **ITEM 19**. Mr. Weldon said the financial results reported in the statements were as expected. He noted a \$30 million increase in net position compared to 2016 due to improvements in investment performance and fiscal improvements within USA Health.

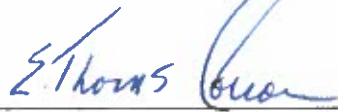
With regard to **ITEM 20**, a resolution to adopt the University total budget for the 2017-2018 fiscal year (for copies of resolutions, policies and other authorized documents, refer to the minutes of the Board of Trustees meeting held on September 8, 2017), Mr. Weldon outlined a number of budget factors, including a five percent reduction in 2017 fall enrollment; level funding in the state appropriation and the three and one-half percent increase in tuition. He noted that the housing and dining rate increases have no impact on the O & M budget. He pointed out that employee health insurance premiums would not be increased. He stated the enrollment decline resulted in an \$8.3 million reduction in revenue. President Waldrop advised that, for the first time since 2010, the budget proposal did not include an across-the-board salary increase or a one-time salary supplement, with the exception of a modest salary increase for employees who earn less than \$20,000.

Budget and Finance Committee
September 7, 2017
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Mr. Corcoran said the proposal included the use of \$1.5 million from reserves. On motion by Mr. Yance, seconded by Dr. Stokes, the Committee voted unanimously to recommend approval of the resolution by the Board of Trustees.

There being no further business, the meeting was adjourned at 4:52 p.m.

Respectfully submitted:

A handwritten signature in blue ink, appearing to read "E. Thomas Corcoran", written over a horizontal line.

E. Thomas Corcoran, Chair

**UNIVERSITY OF SOUTH ALABAMA
BOARD OF TRUSTEES**

LONG-RANGE PLANNING COMMITTEE

**September 7, 2017
4:52 p.m.**

A meeting of the Long-Range Planning Committee of the University of South Alabama Board of Trustees was duly convened by Mr. Mike Windom, Chair, on Thursday, September 7, 2017, at 4:52 p.m. in the Board Room of the Frederick P. Whiddon Administration Building.

Members Present: Chandra Brown Stewart, Ron Jenkins, Bettye Maye, Jimmy Shumock and Mike Windom.

Other Trustees: Alexis Atkins, Tom Corcoran, Steve Furr, Arlene Mitchell, John Peek, Ken Simon, Steve Stokes and Jim Yance.

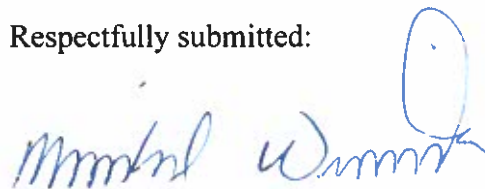
Administration and Others: Owen Bailey, Robert Berry, Lynne Chronister, Angela Coleman, Joel Erdmann, Monica Ezell, Mike Finan, Happy Fulford, Mike Haskins, David Johnson, Melva Jones, John Marymont, Mike Mitchell, John Smith, Margaret Sullivan, Carl Thomas (SGA), Jean Tucker, Elizabeth VandeWaa (Faculty Senate), Tony Waldrop and Scott Weldon.

The meeting came to order and the attendance roll was called. Mr. Windom called for consideration of the minutes of the meeting held on June 1, 2017. On motion by Mr. Shumock, seconded by Ms. Brown Stewart, the minutes were adopted unanimously.

Mr. Windom called for a presentation on the 2017 Scorecard, **ITEM 21**. Provost Johnson reminded the group of prior adoption of an updated strategic plan for the period 2016-2020. He introduced Dr. Angela Coleman, Associate Vice President for Institutional Effectiveness, who made brief remarks on the University's process of tracking progress toward the achievement of objectives tied to the Institution's five strategic priorities and the 2017 Scorecard, which documents assessment results.

There being no further business, the meeting was adjourned at 4:59 p.m.

Respectfully submitted:



Michael P. Windom, Chair

**UNIVERSITY OF SOUTH ALABAMA
BOARD OF TRUSTEES**

COMMITTEE OF THE WHOLE

September 7, 2017

4:59 p.m.

A meeting of the Committee of the Whole of the University of South Alabama Board of Trustees was duly convened by Judge Kenneth O. Simon, Chair *pro tempore*, on Thursday, September 7, 2017, at 4:59 p.m. in the Board Room of the Frederick P. Whiddon Administration Building.

Members Present: Alexis Atkins, Chandra Brown Stewart, Tom Corcoran, Steve Furr, Ron Jenkins, Bettye Maye, Arlene Mitchell, John Peek, Jimmy Shumock, Ken Simon, Steve Stokes, Mike Windom and Jim Yance.

Members Absent: Scott Charlton, Kay Ivey and Bryant Mixon.

Administration and Others: Owen Bailey, Robert Berry, Lynne Chronister, Joel Erdmann, Monica Ezell, Mike Finan, Happy Fulford, Mike Haskins, David Johnson, Melva Jones, John Marymont, Mike Mitchell, John Smith, Margaret Sullivan, Carl Thomas (SGA), Jean Tucker, Elizabeth VandeWaa (Faculty Senate), Tony Waldrop and Scott Weldon.

The meeting came to order and the attendance roll was called. Chairman Simon called for adoption of the revised agenda. On motion by Mr. Peek, seconded by Mr. Corcoran, the revised agenda was adopted unanimously.

Chairman Simon called for consideration of the minutes of the meeting held on June 1, 2017. On motion by Mr. Peek, seconded by Mr. Shumock, the minutes were approved unanimously.

Due to the time, Chairman Simon suggested that resolutions honoring Trustees Maye, Peek and Mixon for their service to the University, **Items 21.A, 21.B and 21.C**, respectively, as well as an executive session, be deferred to the Board of Trustees meeting on September 8.

There being no further business, the meeting was adjourned at 5:01 p.m.

Respectfully submitted:



Kenneth O. Simon, Chair *pro tempore*